

Dkt. 60240/JPW/EMW/MMM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE # 3 1

Ilya Trakht et al. Applicants

Reg for Refund 07-05-01

Serial No.

09/664,958

Filing Date

September 18, 2000

For

NOVEL TUMOR-ASSOCIATED MARKER

1185 Avenue of the Americas New York, New York 10036 November 20, 2000

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

TRANSMITTAL OF VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS UNDER 37 C.F.R. §1.9(f) AND §1.27(d) AND REQUEST FOR REFUND

A filing fee of \$690.00 was originally paid in connection with the Lufiling of the above-identified patent application on September 18, 2000 The corresponding fee for a small entity is \$345.00. deadfine for making a request for refund is two months after the September 18, 2000 filing date, i.e., November 18, 2000. However, since November 18, 2000 was a Saturday, a request for refund filed on the next business day is to be considered timely. Therefore, a request for refund filed on Monday, November 20, 2000 is to be considered timely. All rights in the United States under this application are either assigned or licensed to a small entity and this request is being timely made. A refund in the amount of \$345.00 is hereby requested pursuant to 37 C.F.R. §1.28(a). This refund should be credited to Deposit Account No. 03-3125 under Docket No. 60240.

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In support of this request for a refund, applicants submit herewith, as Exhibit A, a Verified Statement (Declaration) Claiming Small Entity Status under 37 C.F.R. §1.9(f) and §1.27(d) signed by The Verified Statement is signed by an Michael J. Cleare. authorized official of the entity who has rights in the subject application, namely, The Trustees of Columbia University in the City of New York.

No fee is deemed necessary in connection with the filing of this Transmittal. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents,

ohn P. White

eg. No. 28,678

John\H. White

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